# α-Synuclein Genetic Variability: A Biomarker for Dementia in Parkinson Disease

Ilaria Guella, PhD,<sup>1</sup> Daniel M. Evans, BSc,<sup>1</sup> Chelsea Szu-Tu, MSc,<sup>1</sup> Ekaterina Nosova, PhD,<sup>1</sup> Stephanie F. Bortnick, BSc,<sup>1</sup>

SNCA Cognition Study Group, Jennifer G. Goldman, MD, MS,<sup>2</sup>

John C. Dalrymple-Alford, PhD,<sup>3</sup> Gert J. Geurtsen, PhD,<sup>4</sup> Irene Litvan, MD,<sup>5</sup>

Owen A. Ross, PhD,<sup>6</sup> Lefkos T. Middleton, MD,<sup>7</sup> Laura Parkkinen, PhD,<sup>8</sup> and

Matthew J. Farrer, PhD<sup>1</sup>

**Objective:** The relationship between Parkinson disease (PD), PD with dementia (PDD), and dementia with Lewy bodies (DLB) has long been debated. Although PD is primarily considered a motor disorder, cognitive impairment is often present at diagnosis, and only  $\sim$ 20% of patients remain cognitively intact in the long term. Alpha-synuclein (*SNCA*) was first implicated in the pathogenesis of the disease when point mutations and locus multiplications were identified in familial parkinsonism with dementia. In worldwide populations, *SNCA* genetic variability remains the most reproducible risk factor for idiopathic PD. However, few investigators have looked at *SNCA* variability in terms of cognitive outcomes.

**Methods:** We have used targeted high-throughput sequencing to characterize the 135kb SNCA locus in a large multinational cohort of patients with PD, PDD, and DLB and healthy controls.

**Results:** An analysis of 43 tagging single nucleotide polymorphisms across the *SNCA* locus shows 2 distinct association profiles for symptoms of parkinsonism and/or dementia, respectively, toward the 3' or the 5' of the *SNCA* gene. In addition, we define a specific haplotype in intron 4 that is directly associated with PDD. The PDD risk haplotype has been interrogated at single nucleotide resolution and is uniquely tagged by an expanded TTTC<sub>n</sub> repeat. **Interpretation:** Our data show that PD, PDD, and DLB, rather than a disease continuum, have distinct genetic etiologies albeit within one genomic locus. Such results may serve as prognostic biomarkers to these disorders, to inform

physicians and patients, and to assist in the design and stratification of clinical trials aimed at disease modification. ANN NEUROL 2016;79:991–999

Parkinson disease (PD) has been traditionally defined by characteristic clinical motor hallmarks of bradykinesia, tremor, muscular rigidity, and postural instability. However, the nonmotor aspects of PD, including cognitive

impairment, are now increasingly recognized as a common feature of the disease. At the time of diagnosis, approximately 24% of PD patients have mild cognitive impairment (PD with mild cognitive impairment [PD-MCI]),<sup>1,2</sup>

View this article online at wileyonlinelibrary.com. DOI: 10.1002/ana.24664

Received Dec 9, 2015, and in revised form Apr 5, 2016. Accepted for publication Apr 6, 2016.

Address correspondence to Dr Farrer, Department of Medical Genetics, University of British Columbia, Vancouver, BC, Canada, V6T 1Z3. E-mail: mfarrer@can.ubc.ca

From the <sup>1</sup>Centre for Applied Neurogenetics, Department of Medical Genetics, University of British Columbia, Vancouver, British Columbia, Canada; <sup>2</sup>Department of Neurological Sciences, Rush University Medical Center, Chicago, IL; <sup>3</sup>New Zealand Brain Research Institute, Christchurch, New Zealand; <sup>4</sup>Department of Neurology, Academic Medical Center Amsterdam, the Netherlands; <sup>5</sup>Department of Neurosciences, University of California, Movement Disorder Center, San Diego, CA; <sup>6</sup>Department of Neuroscience, Mayo Clinic, Jacksonville, FL; <sup>7</sup>School of Public Health, Faculty of Medicine, Imperial College, St Mary's Campus, London, United Kingdom; and <sup>8</sup>Oxford Parkinson's Disease Centre, Nuffield Department of Clinical Neurosciences, University of Oxford, Oxford, United Kingdom.

Members of the SNCA Cognition Study Group and MDS PD-MCI Study Group are available as online supplementary files.

Additional supporting information can be found in the online version of this article

TABLE 1. Cohort Description								
Cohort	CI	PD, No.	DLB, No.	HC, No.				
LINC		(21	(7	554				
UBC	M.J.F.	421	6/	556				
PPMI <sup>50</sup>		465		209				
CARPA: PD-MCI <sup>51</sup>	G.J.G.	110						
USA: PD-MCI <sup>51</sup>	J.G.G.	75						
New Zealand: PD-MCI <sup>51</sup>	J.C.DA.	143		55				
Mayo	O.A.R.	84	798	91				
UK/ICL: PD Brain Bank	L.T.M.	194	5					
UK/OPDC: Oxford Brain Bank	L.P.		52	60				
Total		1,492	922	971				

CARPA = Comorbidity and Aging in Rehabilitation Patients: The Influence on Activities; CI = coordinating investigator for each site; DLB = dementia with Lewy bodies; HC = healthy controls; ICL = Imperial College London; MCI = mild cognitive impairment; OPDC = Oxford Parkinson's Disease Centre; PD = Parkinson disease; PPMI = Parkinson's Progression Markers Initiative; UBC = University of British Columbia.

and approximately 80% of longitudinally followed patients with PD develop dementia (PD with dementia [PDD]) during the course of the disease.<sup>3,4</sup> The presence of cognitive impairment in patients with PD is associated with lower quality of life, increased nursing home placement, and mortality.<sup>5</sup> Clinically, the cognitive features of PDD are similar to and often indistinguishable from dementia with Lewy bodies (DLB).<sup>6,7</sup> The two dementia syndromes are differentiated based on the timing of the motor PD signs relative to the onset of dementia (ie, diagnosis of DLB is assigned when motor symptoms and dementia appear together or within 1 year of each other).<sup>8</sup> However, overlap in these clinical presentations often causes difficulty in the diagnostic process. In addition to the clinical phenotypic similarities, PDD and DLB also share common neuropathological features, because the burden of cortical Lewy bodies and neurites is often indistinguishable.7,9-12 Recent studies suggest increased cortical Lewy body and amyloid B deposition in temporal and parietal regions may be distinguishing features of DLB, compared to PDD,13 and may potentially be mediated by apolipoprotein E (APOE)  $\epsilon 4$ .<sup>14</sup> The etiopathogenic mechanisms of DLB and PDD still remain unclear, and they are often considered as two manifestations of one continuous spectrum of disease. Genetic factors may play a role in the expression of cognitive deficits in PDD and DLB, as suggested by dominant familial forms of PDD/DLB. Notably, missense mutations in the  $\alpha$ -synuclein gene (SNCA) and locus multiplications are associated with clinical and pathological phenotypes ranging from PD to PDD to DLB.<sup>15</sup> In worldwide populations, SNCA genetic variability remains the most reproducible risk factor for idi-

opathic PD. However, only a few investigators have looked at *SNCA* variability in terms of these different clinicopathological groups. In this study, we have used targeted highthroughput sequencing to comprehensively characterize the 135kb *SNCA* locus in a large multinational cohort of patients with PD, PDD, and DLB and healthy controls.

# **Subjects and Methods**

# **Subjects**

All sites received approval from an ethical standards committee on human experimentation before study initiation and obtained written informed consent for research from all individuals participating in the study. A total of 1,492 PD, 922 DLB, and 971 healthy control (HC) samples, originating from 8 cohorts, were included in the study (Table 1). All samples are of self-declared European or North American ancestry. Clinical examinations were performed by movement disorders specialty-trained neurologists, and diagnoses were made using established criteria,16,17 the UK Brain Bank Criteria for PD,<sup>18</sup> and the DLB Consortium.<sup>19</sup> PD patients were classified without cognitive impairment (noCI) or with dementia (PDD) according to the Movement Disorder Society Task Force criteria,6 or using Montreal Cognitive Assessment (MoCA), taking into account the mean score, minimum score, and score at last examination.<sup>20,21</sup> Patients with raw MoCA scores >21 but < 26 were considered to have some degree of cognitive impairment, and were not used in stratified cognitive analyses. When quantitative scores were unavailable, a qualitative diagnosis of PDD was made on the basis of longitudinal evaluations and clinical impression (n = 57, UK Imperial College London, PD Brain Bank). Controls were individuals with no evidence of neurological disease, including movement disorders or dementia, at the time of examination.

TABLE 2. Sample Demographics									
Diagnosis	No.	Neuropath Diagnosis	Gender, Male %	Age/Age at Death, yr	Age at Onset, yr	Disease Duration, yr			
PD	1,492	314	65.3	$71.5 \pm 11.3$	$60.3 \pm 10.2$	$9.6 \pm 6.0$			
PD-noCI	572	7	60.7	$67.4 \pm 10.4$	$58.5 \pm 9.6$	$8.3 \pm 4.8$			
PDD	198	57	68.2	$76.6 \pm 7.8$	$63.2 \pm 9.8$	$13.3 \pm 6.8$			
DLB	922	518	63.7	$81.5\pm9.2$	$73.2 \pm 8.1^{a}$	$10.8 \pm 4.9^{a}$			
НС	971	115	53.4	$72.0\pm12.6$		_			
Mean $\pm$ standard deviation.									

<sup>a</sup>Data only available for 468 subjects.

DLB = dementia with Lewy bodies; HC = healthy controls; noCI = no cognitive impairment; PD = Parkinson disease; PDD = PD with dementia.

## **Genetic Screening**

SNCA gene dosage was assessed by quantitative real-time polymerase chain reaction (PCR).<sup>22</sup> Short tandem repeat genotyping was performed using fluorescent-labeled primer PCR reaction with capillary electrophoresis on an ABI3730xl Genome Analyzer and analyzed with Genemapper software (Life Technologies, Carlsbad, CA). All subjects were genotyped for *APOE*  $\epsilon$ 2/ $\epsilon$ 3/ $\epsilon$ 4 using a TaqMan SNP Genotyping Assay (Life Technologies).

### High-Throughput Sequencing

The entire 135kb *SNCA* genomic locus (chr4:90,635,215-90,769,364) was sequenced as part of a custom designed highthroughput sequencing (HTS) panel, capturing the exonic regions of candidate genes previously associated or linked to neurodegenerative disease. Pair-end sequencing was performed on a SOLiD 5500xl platform (Life Technologies) as previously described.<sup>23</sup> Mapping, sequence alignment, duplicate removal, single nucleotide polymorphism (SNP) calling, and indel detection were performed by Lifescope v2.5.1 (Life Technologies). Annotation was performed with ANNOVAR,<sup>24</sup> using NCBI Build 37 (hg19) as the reference genome.

#### **SNP** Selection

Forty-four SNPs (Supplementary Table 1) were selected for the *SNCA* locus using the TAGGER program as implemented in HaploView 4.1,<sup>25</sup> with parameters of minor allele frequency (MAF) > 5% and pairwise  $r^2$  threshold of 0.8.

#### Genotyping

SAMtools (v0.1.18)<sup>26</sup> was used to generate genotype calls from individual BAM files. Genotypes with depth of coverage < 10 were set as missing. Additional genotyping of 43 SNPs was carried out by Sequenom MassArray iPLEX system (Sequenom, San Diego, CA). Sequenom primers were designed using MassARRAY Designer 4.0 software (Sequenom). PCR amplification, shrimp alkaline phosphatase treatment, and single-base extension and desalting were performed in 384-well microplates (Thermo Fisher Scientific, Fremont, CA) using Sequenom PCR reagents according to the manufacturer's protocol. Reproducibility was assessed by comparing replicated samples both within and across platforms. A genotype call rate > 95% and a p > 0.01 for test of deviation from Hardy–Weinberg equilibrium (HWE) were used as quality-control criteria. Samples with > 5% missing genotypes were removed from the study.

#### **Statistical Analysis**

Highly polymorphic genetic variability in candidate genes (MAF > 0.2), beyond the *SNCA* locus, was used in a factor analysis to generate eigenvectors and correct for potential population stratification, as previously described.<sup>23</sup> HWE was tested in PLINK,<sup>27</sup> and markers that deviated from expectation (p < 0.001) were excluded. Association testing was performed using the logistic regression function in PLINK, using gender, age/age at death, site, and *APOE* dosage as covariates. Pairwise linkage disequilibrium (LD) was calculated for all 43 *SNCA* SNPs. Twenty-one markers in disequilibrium ( $r^2 > 0.8$ ) were excluded from the Bonferroni correction, and the significance level was set to 0.05/22 = 0.002. Odds ratios (ORs) and 95% confidence intervals (CI) were calculated for the minor allele.

The LD structure of the *SNCA* locus was assessed with the software package Haploview v4.1.<sup>25</sup> For each block, only haplotypes with frequency > 0.01 were considered. Logistic regression analysis implemented in PLINK was used to test for association, and multiple testing was adjusted for using the max(T) permutation procedure (n = 10,000).<sup>27</sup>

## Results

The final study population consisted of 1,492 PD, 922 DLB, and 971 HC samples; demographic and clinical characteristics, including those with autopsy, are summarized in Table 2. All subjects were wild-type for *SNCA* multiplication and without pathogenic mutations in known genes for parkinsonism. *APOE* genotypes and allele frequencies observed in the samples are reported in Supplementary Table 2. There was no significant difference in allele or genotype distribution between patients

with PD and HCs. However, an overrepresentation of the *APOE*  $\epsilon$ 4 allele in both PDD (OR [95% CI] = 1.28 [1.11–1.48], *p* = 0.09) and DLB (OR [95% CI] = 2.50 [2.29–2.70], *p* < 0.001) groups was observed.

Genotypes for 43 SNPs (MAF > 5%) spanning the entire SNCA locus were obtained for the cohort. Sequencing of the SNCA locus was performed in 1,366 PD, 122 DLB, and 490 HC samples, selected as they had the most detailed history and sufficient DNA. Overall, 92% of the SNCA locus was sequenced with a minimum average depth  $>20\times$ , across all the samples. Regions with no coverage were found to be in or near repetitive elements. An additional 126 PD, 800 DLB, and 488 HC samples were genotyped for the 43 SNCA SNPs using Sequenom technology. All SNPs had a genotyping call rate > 90% and MAF > 5% and were in HWE in control subjects. After LD pruning  $(r^2 > 0.8)$ , 22 SNPs were selected for single SNP association analysis (see Supplementary Table 1). Logistic regression analysis was used to test for association between the 22 tagging SNPs and disease status (PD, PD-noCI, PDD, or DLB vs HC) with and without adjusting for the following covariates; age, gender, site, and APOE  $\epsilon$ 4 dosage. Allele frequencies, ORs, and probability values are reported in Supplementary Table 3. Results are displayed in Figure 1. After correction for multiple testing, 6 SNPs (rs356220, rs356225, rs3857057, rs10018362, rs2737029, rs7689942) showed a significant association with PD, and 3 SNPs (rs62306323, rs974711, rs1348224) reached statistical significance in the DLB samples. All SNPs except rs62306323 remained significantly associated after adjusting for covariates. Cognitive assessments were available for 1,067 (72%) of 1,492 patients with PD; 572 patients were classified as PD-noCI and 198 as PDD. The remaining 297 patients were considered to have some degree of cognitive impairment, and were not included in subsequent analyses. In the PD-noCI group, rs356220 and rs10018362 reached statistical significance after Bonferroni correction, and rs10018362 remained significant after covariate adjustment. In the PDD group, 3 SNPs (rs10018362, rs7689942, rs1348224) showed a statistically significant association, and rs10018362 and rs7689942 remained significant after covariate adjustment.

Furthermore, haplotype-based association analysis was performed for SNPs within LD blocks (see Fig 1 and Supplementary Table 4). A significant risk haplotype was identified in both the PD and PD-noCI groups (frequency: 8.7% in PD, 8.9% in PD-noCI, 5.6% in HCs; OR [95% CI] = 1.67 [1.32–2.11],  $p = 6.34 \times 10^{-5}$ , p-perm =  $2.00 \times 10^{-4}$ , OR [95% CI] = 1.71 [1.29–2.28],  $p = 6.00 \times 10^{-4}$ , p-perm =  $0.0031 \times 10^{-4}$  in PD and PD-noCI, respectively). The risk haplotype, spanning approximately 74kb from intron 4 to the 3' end of *SNCA*, is tagged by rs356220-T,

rs3857057-G, rs10018362-C, and rs2737029-C. Two alternative 11kb haplotypes in *SNCA* intron 4 were also significantly associated with increased risk of PDD (rs62306323-C and rs7689942-T; frequency: 9.1% in PDD, 4.9% in HC; OR [95% CI] = 2.01 [1.33–3.04],  $p = 9.18 \times 10^{-4}$ , p-perm = 0.01) or DLB (rs62306323-T and rs7689942-C; frequency: 15.3% in DLB, 11.6% in HC; OR [95% CI] = 1.37 [1.13–1.66], p = 0.001, p-perm = 0.02). Both haplotypes remain as significant after covariate adjustment.

To identify the complete set of DNA variants in the 11kb associated haplotype in SNCA intron 4, all variants within this region were extracted for the samples that underwent HTS (1,366 PD, 122 DLB, and 490 HC). A total of 79 single nucleotide variants were identified, of which 45 had an MAF < 0.01 and 15 were novel relative to dbSNP build 142. Of the 79 variants in the interval, 11 are in complete LD ( $r^2 > 0.98$ ) with the PDD-associated SNP (rs7689942), whereas none is in LD  $(r^2 > 0.5)$  with the DLB-associated SNP (rs62306323). Haplotype reconstruction identified 21 distinct haplotypes, of which 11 had a frequency > 0.01 (Fig 2). Remarkably, both the DLB and PDD risk haplotypes were uniquely tagged by SNPs included in the initial set of 43 SNPs. Haplotype association analysis showed a significant association between the rs62306323-C rs7689942-T haplotype and PDD (frequency: 9.7% in PDD, 5.0% in HCs; OR [95% CI] = 2.14 [1.33–3.43], *p* = 0.002, *p*-perm = 0.01; Supplementary Table 5). The number of rare variants in the 11kb region was no different between PDD risk haplotype carriers and noncarriers.

Four repeated elements (AluJb, chr4:90716499-90716796; AluSx1, chr4:90717144-90717436; TTTC<sub>n</sub> repeat, chr4:90723737-90723915; THE1D, chr4:90724732-90725112) within the 11kb region could not be examined by HTS (see Fig 2). These regions were Sanger sequenced in homozygote subjects for each of the 5 common haplotypes (frequency > 0.5). Three repeated elements (AluJb, AluSx1, THE1D) were univariate in size (wild-type) in all subjects. However, a variable number of TTTCn repeats was observed for different haplotypes (see Supplementary Table 6 for a detailed description of the repeat structure). Genotyping of the repeat in 520 individuals (239 PD, 281 HC) showed that every haplotype is associated with a specific repeat size (ranging from 289 to 301bp), with the exception of the PDD risk haplotype, which is associated with larger expanded repeat sizes (all > 309bp; see Fig 2). Subsequent genotyping of all the PDD risk haplotype carriers (81 HC and 180 PD) revealed that the PDD risk allele is uniquely tagged by an expanded TTTC<sub>n</sub> repeat (size ranging from 309 to 345bp). Nevertheless, among PDD haplotype carriers the distribution of the repeat sizes was not different between diagnostic groups (Supplementary Table 7).



FIGURE 1: Regional association plot and linkage disequilibrium structure for the SNCA locus. (A) Logistic regression, adjusting for age, gender, site, and apolipoprotein E dosage, was performed for each group (Parkinson disease [PD], PD without cognitive impairment [PD no-CI], PD with dementia [PDD], dementia with Lewy bodies [DLB]) versus healthy controls (HC; n = 971). Probability values for the 22 tagging single nucleotide polymorphisms (SNPs) are plotted (as -log10 P) against their physical position on chromosome 4 (National Center for Biotechnology Information Build 37). The locations of known genes in the region are also shown. The black dotted line represents Bonferroni correction threshold of 0.002. (B) Disease-associate haplotypes are indicated by black lines. Frequencies, odds ratios (ORs), and probability values (after 10,000 permutations) are also shown. SNPs defining the haplotypes are underlined, and the corresponding alleles are indicated with capital letters. The linkage disequilibrium map is based on  $r^2$  values in the associated regions using genotyping results for all the 43 SNPs in HC, as derived by Haploview software (darker shades represent greater  $r^2$  values). CI = confidence interval. [Color figure can be viewed in the online issue, which is available at www.annalsofneurology.org.]

#### Discussion

In the present study, we have explored the contribution of *SNCA* genetic variability to PD, PD-noCI, PDD, and DLB. These disorders share similarities in motor and cognitive dysfunction, and are characterized by Lewy body pathology. Results from an analysis of 43 tagging SNPs spanning the entire *SNCA* locus show 2 distinct association profiles for symptoms of parkinsonism and/or dementia, respectively, toward the 3' or the 5' of the *SNCA* gene. In addition, we identify a specific haplotype in *SNCA* intron 4 that is directly associated with PDD. Collectively, our results suggest that PD, PDD, and DLB, rather than representing a disease continuum, have distinct genetic etiologies, albeit within one locus.

Cognitive decline is one of the most debilitating manifestations of disease progression in PD, and it has



FIGURE 2: Analysis of the 11kb dementia with Lewy bodies (DLB)/Parkinson disease with dementia (PDD)-associated haplotype. Schematic representation of the *SNCA* gene show the relative position of the 11kb haplotype (*gray box*). The average read depth across the interval in 100bp bins is shown. Repeated elements in the region (RepeatMasker), and ENCODE regulatory tracks (including H3K4Me1, H3K27Ac, and transcription factor binding sites) are annotated. The position of single nucleotide polymorphisms (SNPs) with minor allele frequency>0.1 is indicated. Haplotypes (HAP; frequency>0.1) are displayed and numbered (1–5) accordingly to Supplementary Table 5. The frequency in controls (F\_HC) is given next to each haplotype. Alternative alleles are highlighted in black, and SNPs originally included in the 43-SNP set are shaded in darker gray. The TTTC<sub>n</sub> repeat length is also shown for each haplotype; a short repeat expansion (size = 309–345bp, in bold and shaded) is associated with the PDD risk haplotype. [Color figure can be viewed in the online issue, which is available at www.annalsofneurology.org.]

an important influence on patient management and prognosis. The incidence rate of dementia is estimated to be at least 4-fold higher among patients with PD than in the general population.<sup>3</sup> However, only a few genetic studies have been conducted in this area and the specific genetic contributions to cognitive impairment are still poorly understood. Herein, we have looked at SNCA variability in patients with PD at two extremes of the cognitive spectrum. Genetic variability within the SNCA gene has been unequivocally associated with sporadic PD susceptibility.28,29 Initial results highlighted 5' promoter variability (REP1, D4S3481),<sup>30</sup> but several independent association signals have been identified across the locus.31,32 REP1 was not associated with disease in this study (data available on request). However, our results show a 74kb haplotype, from intron 4 to the 3' end of SNCA, is associated with increased risk of PD (OR [95% CI] = 1.67 [1.32–2.11],  $p = 6.34 \times 10^{-5}$ , *p*-perm =  $2.00 \times 10^{-4}$ ). The association profile in PD without cognitive impairment overlaps the one observed for all patients with PD (OR [95% CI] = 1.71 [1.29–2.28],  $p = 6.00 \times 10^{-4}$ , *p*-perm = 0.003).

In agreement with previous studies, 33,34 our results show significant association of the SNCA locus with PD and DLB, and show that alleles conferring that risk are different in these 2 diseases. The top DLB-associated SNP CI] = 0.71(rs1348224, OR [95% [0.61 - 0.83], $p = 1.1 \times 10^{-5}$ ) is located 2.5kb upstream of the SNCA gene. This SNP is in almost complete LD ( $r^2 > 0.95$ ; LD calculation based on genotypes extracted from the samples that underwent SNCA locus HTS) with rs894280, the top SNP recently reported by Bras et al.33 rs894280 and rs1348224 are 1,063bp apart (chr4:90760883-90761946) and show comparable ORs in the same direction. However, they are frequent (MAF  $_{\rm HC}\,{=}\,0.50)$  and tag a common ancestral haplotype. Higher-resolution nucleotide sequencing of the 5' flanking region of the SNCA gene is now warranted in additional patients with DLB to precisely define the functional variant(s).

Extending prior studies, our analysis reveals a significant association between an 11kb haplotype located more 5' in intron 4 in both PDD and DLB, albeit on alternate alleles. Within this region, using HTS, we have defined the genetic variability at single nucleotide resolution. Complementary analysis of repeated elements also found a novel, informative TTTC<sub>n</sub> for which the largest, expended alleles are associated with PDD risk (see Fig 2). Nevertheless, although these alleles are associated with twice the risk of PDD, only a small number of PDD cases (9.1%) carry them. Of note, the 11kb haplotype contains major histone modifications, H3K4Me1 and H3K27Ac marks, that denote an active enhancer<sup>35</sup> (see Fig 2). Hence, single nucleotide and tandem repeat variability may jointly contribute to cis-regulation of SNCA expression.<sup>36</sup>

Several studies have reported dysregulation of  ${\it SNCA}$  expression in sporadic PD brains,  $^{\dot{37}-40}$  and suggest that SNCA variability affects gene expression.<sup>41-43</sup> Some efforts have also been made to investigate potential regulatory elements. Sterling and colleagues<sup>44</sup> analyzed conserved noncoding genomic regions across the SNCA locus and identified 12 cis-regulatory regions that modulate expression of a reporter; the element with the highest fold change (2.5-fold) is located within the PDD/DLBassociated haplotype (chr4: 90721509-90721763). Lutz and colleagues<sup>45</sup> found that an intronic CT-rich region in SNCA intron 4 increased risk of developing Lewy body pathology in Alzheimer disease, influencing histone modification and SNCA transcriptional regulation. However, no association was detected in our study of PD or DLB (employing rs17016193 as a surrogate for rs2298728 in LD  $[r^2 > 0.96]$ ).

Limitations of this cross-sectional study are that phenotype data related to PD and cognition were analyzed at 1 point in time, and originate from several studies and sites (see Table 1). Clinical data on postmortem cases were obtained by retrospective chart review. In contrast, de novo subjects within the Parkinson's Progression Markers Initiative Consortium are prospectively followed and may yet develop cognitive impairment and dementia. Although more detailed, prospective, cognitive data are available for the PD-MCI Consortium, these samples represent a smaller subset of patients, who may also go on to develop dementia. We accounted for predictors of cognitive function by including demographic characteristics (eg, age, gender, and APOE  $\epsilon$ 4 dosage) in the regression models, age being the most prominent risk factor for PDD.<sup>46,47</sup> However, cognitive impairment in PD also correlates with the severity of motor disability<sup>48</sup> and

cognitive tests scores should be corrected for education<sup>21</sup>; these were not available for most of the subjects. Despite these caveats, all diagnoses were made by movement disorders specialty-trained neurologists using established criteria, and within and among sites the study protocols were well defined and have common clinical elements that facilitated post hoc data harmonization.

SNCA was the first locus implicated in PD and confers the highest population-attributable risk in genome-wide meta-analyses.<sup>31</sup> It remains the only gene unequivocally associated with disease susceptibility, progression, and pathology. Here, we precisely define and dissect genetic variability within the SNCA locus using HTS at single nucleotide resolution. Rather than a continuum, we show that PD, PDD, and DLB have overlapping but unique genetic architectures, albeit within the same genomic region. Such genetic predictors of cognitive decline may now optimize stratification in clinical trials and advance clinical care (reviewed by Chen-Plotkin<sup>49</sup>. Longitudinal studies investigating rates of progression in motor and cognitive decline, and SNCA variability, are ongoing. Although genetic results for PD, PDD, and DLB show that aspects of  $\alpha$ -synuclein biology will overlap, the results predict several mechanisms will be specific. Analyses of SNCA expression and aggregate protein pathology in PD without cognitive impairment (and of long disease duration), PDD, and DLB in SNCA genotype-defined cases are now warranted.

# Acknowledgments

This work was supported by the Michael J. Fox Foundation for Parkinson's Research (Cognitive Biomarkers RFA), the Canada Excellence Research Chairs Program, and the Cundill Foundation. Leading Edge Endowment Funds provided by the Province of British Columbia, LifeLabs, and Genome BC support the Dr Donald Rix BC Leadership Chair. We acknowledge the Oxford Brain Bank, supported by the Medical Research Council, Brains for Dementia Research (Alzheimer Society and Alzheimer Research UK), Autistica UK, and the National Institute for Health Research Oxford Biomedical Research Centre. The Mayo Clinic Jacksonville is a Morris K. Udall Parkinson's Disease Research Center of Excellence (NIH National Institute of Neurological Disorders and Stroke grant P50 NS072187) and is supported by the Little Family Foundation and the Mangurian Foundation for Lewy body research. This work was also supported by NIH National Institute of Neurological Disorders and Stroke (R01 NS078086, R01 ES10751, and P50 AG016574) and NIH National

Institute on Aging (R01 AG015866; Alzheimer's Disease Research Center).

Data used in the preparation of this article were obtained from the Parkinson's Progression Markers Initiative (PPMI) database (http://www.ppmi-info.org/data). For up-to-date information on the study, visit www. ppmi-info.org. Biospecimens used in the analyses presented in this article were obtained from the PPMI (www.ppmi-info.org/specimens). As such, the investigators within the PPMI contributed to the design and implementation of PPMI and/or provided data and collected biospecimens but did not participate in the analysis or writing of this report. The complete list of PPMI Investigators can be found at http://www.ppmi-info.org/ Authorslist. PPMI-a public-private partnership-is funded by the Michael J. Fox Foundation for Parkinson's Research and funding partners, including Abbott, Avid Radiopharmaceuticals, Biogen Idec, Covance, Elan Corporation, GE Healthcare, Genentech, GlaxoSmithKline, Eli Lilly and Company, Merck, Pfizer, Roche CNS group, and UCB (www.ppmi-info.org/fundingpartners).

We thank the individuals and families who generously participated in this research; and T. Candido, C. Thompson, V. Silva, and F. T. Pishotta for technical contributions.

# **Author Contributions**

Study concept and design: I.G., J.G.G., J.C.D.-A., G.J.G., I.L., L.T.M., L.P., M.J.F.; data acquisition and analysis: I.G., D.M.E., E.N., C.S.-T., S.F.B., O.A.R.; drafting the manuscript and figures: I.G.

See online supplementary file for members of *SNCA* Cognition Study Group teams that contributed to the acquisition of clinical data, without which the study would not have been possible.

# **Potential Conflicts of Interest**

Nothing to report.

# References

- Muslimovic D, Post B, Speelman JD, Schmand B. Cognitive profile of patients with newly diagnosed Parkinson disease. Neurology 2005;65:1239–1245.
- Litvan I, Aarsland D, Adler CH, et al. MDS Task Force on mild cognitive impairment in Parkinson's disease: critical review of PD-MCI. Mov Disord 2011;26:1814–1824.
- Aarsland D, Andersen K, Larsen JP, et al. Prevalence and characteristics of dementia in Parkinson disease: an 8-year prospective study. Arch Neurol 2003;60:387–392.
- Hely MA, Reid WG, Adena MA, et al. The Sydney multicenter study of Parkinson's disease: the inevitability of dementia at 20 years. Mov Disord 2008;23:837–844.

- Rosenthal E, Brennan L, Xie S, et al. Association between cognition and function in patients with Parkinson disease with and without dementia. Mov Disord 2010;25:1170–1176.
- Emre M, Aarsland D, Brown R, et al. Clinical diagnostic criteria for dementia associated with Parkinson's disease. Mov Disord 2007; 22:1689–1707; quiz 837.
- Lippa CF, Duda JE, Grossman M, et al. DLB and PDD boundary issues: diagnosis, treatment, molecular pathology, and biomarkers. Neurology 2007;68:812–819.
- McKeith IG. Consensus guidelines for the clinical and pathologic diagnosis of dementia with Lewy bodies (DLB): report of the Consortium on DLB International Workshop. J Alzheimers Dis 2006;9(3 suppl):417–423.
- Ballard C, Ziabreva I, Perry R, et al. Differences in neuropathologic characteristics across the Lewy body dementia spectrum. Neurology 2006;67:1931–1934.
- Guo L, Itaya M, Takanashi M, et al. Relationship between Parkinson disease with dementia and dementia with Lewy bodies. Parkinsonism Relat Disord 2005;11:305–309.
- 11. Harding AJ, Halliday GM. Cortical Lewy body pathology in the diagnosis of dementia. Acta Neuropathol 2001;102:355–363.
- Jellinger KA. Morphological substrates of parkinsonism with and without dementia: a retrospective clinico-pathological study. J Neural Transm Suppl 2007;(72):91–104.
- Howlett DR, Whitfield D, Johnson M, et al. Regional multiple pathology scores are associated with cognitive decline in Lewy body dementias. Brain Pathol 2015;25:401–408.
- Ruffmann C, Calboli FC, Bravi I, et al. Cortical Lewy bodies and Aβ burden are associated with prevalence and timing of dementia in Lewy body diseases. Neuropathol Appl Neurobiol 2015; DOI: 10.1111/nan.12294.
- Poulopoulos M, Levy OA, Alcalay RN. The neuropathology of genetic Parkinson's disease. Mov Disord 2012;27:831–842.
- Rajput AH, Rozdilsky B, Rajput A. Accuracy of clinical diagnosis in parkinsonism—a prospective study. Can J Neurol Sci 1991;18:275–278.
- Gelb DJ, Oliver E, Gilman S. Diagnostic criteria for Parkinson disease. Arch Neurol 1999;56:33–39.
- Hughes AJ, Daniel SE, Kilford L, Lees AJ. Accuracy of clinical diagnosis of idiopathic Parkinson's disease: a clinico-pathological study of 100 cases. J Neurol Neurosurg Psychiatry 1992;55:181– 184.
- McKeith IG, Dickson DW, Lowe J, et al. Diagnosis and management of dementia with Lewy bodies: third report of the DLB Consortium. Neurology 2005;65:1863–1872.
- Dalrymple-Alford JC, MacAskill MR, Nakas CT, et al. The MoCA: well-suited screen for cognitive impairment in Parkinson disease. Neurology 2010;75:1717–1725.
- Hu MT, Szewczyk-Krolikowski K, Tomlinson P, et al. Predictors of cognitive impairment in an early stage Parkinson's disease cohort. Mov Disord 2014;29:351–359.
- Johnson J, Hague SM, Hanson M, et al. SNCA multiplication is not a common cause of Parkinson disease or dementia with Lewy bodies. Neurology 2004;63:554–556.
- Steele JC, Guella I, Szu-Tu C, et al. Defining neurodegeneration on Guam by targeted genomic sequencing. Ann Neurol 2015;77: 458–468.
- Wang K, Li M, Hakonarson H. ANNOVAR: functional annotation of genetic variants from high-throughput sequencing data. Nucleic Acids Res 2010;38:e164.
- Barrett JC, Fry B, Maller J, Daly MJ. Haploview: analysis and visualization of LD and haplotype maps. Bioinformatics 2005;21:263–265.
- Li H, Handsaker B, Wysoker A, et al. The Sequence Alignment/ Map format and SAMtools. Bioinformatics 2009;25:2078–2079.

- Purcell S, Neale B, Todd-Brown K, et al. PLINK: a tool set for whole-genome association and population-based linkage analyses. Am J Hum Genet 2007;81:559–575.
- Nalls MA, Pankratz N, Lill CM, et al. Large-scale meta-analysis of genome-wide association data identifies six new risk loci for Parkinson's disease. Nat Genet 2014;46:989–993.
- Satake W, Nakabayashi Y, Mizuta I, et al. Genome-wide association study identifies common variants at four loci as genetic risk factors for Parkinson's disease. Nat Genet 2009;41: 1303–1307.
- Maraganore DM, de Andrade M, Elbaz A, et al. Collaborative analysis of alpha-synuclein gene promoter variability and Parkinson disease. JAMA 2006;296:661–670.
- Mata IF, Shi M, Agarwal P, et al. SNCA variant associated with Parkinson disease and plasma alpha-synuclein level. Arch Neurol 2010;67:1350–1356.
- Winkler S, Hagenah J, Lincoln S, et al. alpha-Synuclein and Parkinson disease susceptibility. Neurology 2007;69:1745– 1750.
- Bras J, Guerreiro R, Darwent L, et al. Genetic analysis implicates APOE, SNCA and suggests lysosomal dysfunction in the etiology of dementia with Lewy bodies. Hum Mol Genet 2014;23: 6139–6146.
- Nishioka K, Wider C, Vilarino-Guell C, et al. Association of alpha-, beta-, and gamma-synuclein with diffuse Lewy body disease. Arch Neurol 2010;67:970–975.
- Heintzman ND, Hon GC, Hawkins RD, et al. Histone modifications at human enhancers reflect global cell-type-specific gene expression. Nature 2009;459:108–112.
- Gymrek M, Willems T, Guilmatre A, et al. Abundant contribution of short tandem repeats to gene expression variation in humans. Nat Genet 2016;48:22–29.
- Beyer K, Ispierto L, Latorre P, et al. Alpha- and beta-synuclein expression in Parkinson disease with and without dementia. J Neurol Sci 2011;310:112–117.
- Chiba-Falek O, Lopez GJ, Nussbaum RL. Levels of alpha-synuclein mRNA in sporadic Parkinson disease patients. Mov Disord 2006; 21:1703–1708.

- Kingsbury AE, Daniel SE, Sangha H, et al. Alteration in alphasynuclein mRNA expression in Parkinson's disease. Mov Disord 2004;19:162–170.
- Neystat M, Lynch T, Przedborski S, et al. Alpha-synuclein expression in substantia nigra and cortex in Parkinson's disease. Mov Disord 1999;14:417–422.
- Fuchs J, Tichopad A, Golub Y, et al. Genetic variability in the SNCA gene influences alpha-synuclein levels in the blood and brain. FASEB J 2008;22:1327–1334.
- Linnertz C, Saucier L, Ge D, et al. Genetic regulation of alphasynuclein mRNA expression in various human brain tissues. PLoS One 2009;4:e7480.
- Sotiriou S, Gibney G, Baxevanis AD, Nussbaum RL. A single nucleotide polymorphism in the 3'UTR of the SNCA gene encoding alpha-synuclein is a new potential susceptibility locus for Parkinson disease. Neurosci Lett 2009;461:196–201.
- Sterling L, Walter M, Ting D, Schule B. Discovery of functional non-coding conserved regions in the alpha-synuclein gene locus. F1000Res 2014;3:259.
- Lutz MW, Saul R, Linnertz C, et al. A cytosine-thymine (CT)-rich haplotype in intron 4 of SNCA confers risk for Lewy body pathology in Alzheimer's disease and affects SNCA expression. Alzheimers Dement 2015;11:1133–1143.
- Kempster PA, O'Sullivan SS, Holton JL, et al. Relationships between age and late progression of Parkinson's disease: a clinico-pathological study. Brain 2010;133:1755–1762.
- Williams-Gray CH, Evans JR, Goris A, et al. The distinct cognitive syndromes of Parkinson's disease: 5 year follow-up of the Cam-PalGN cohort. Brain 2009;132(pt 11):2958–2969.
- Levy G, Tang MX, Cote LJ, et al. Motor impairment in PD: relationship to incident dementia and age. Neurology 2000;55:539–544.
- Chen-Plotkin AS. Unbiased approaches to biomarker discovery in neurodegenerative diseases. Neuron 2014;84:594–607.
- The Parkinson Progression Marker Initiative (PPMI). Prog Neurobiol 2011;95:629–635.
- Geurtsen GJ, Hoogland J, Goldman JG, et al. Parkinson's disease mild cognitive impairment: application and validation of the criteria. J Parkinsons Dis 2014;4:131–137.